

# Non-vanishing mod $p$ of derived Hecke algebra of the multiplicative group over number field

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This work is joint with Dohyeong Kim.

Let us follow the paper of Venkatesh to define the derived Hecke algebra.

- $F$ : a number field
- $\mathbf{G}$ : a reductive algebraic group over  $F$ .
- $K$ : an open compact subgroup of  $\mathbf{G}(\mathbb{A}_F^{(\infty)})$  of form

$$\prod_{v: \text{prime of } F} K_v.$$

- $R$ : a commutative ring with unity.
- $v$ : a prime of  $F$  such that  $N(v)$  is invertible in  $R$ .
- $F_v$ : the completion of  $F$  at  $v$ .

### Definition (Abstract Hecke algebra)

The **abstract Hecke algebra** at  $v$  with coefficients in  $R$  is defined by

$$\mathcal{H}_v^0 := \text{Hom}(R[\mathbf{G}(F_v)/K_v], R[\mathbf{G}(F_v)/K_v]),$$

where  $\text{Hom}$  is taken in a suitable category of  $R[\mathbf{G}(F_v)]$ -modules.

Let  $S_\infty$  be the quotient of

$$\mathbf{G}(F \otimes_{\mathbb{Q}} \mathbb{R})$$

by a maximal compact connected subgroup of itself.

### Notation

Let us define a space  $Y_K$  as follows:

$$Y_K := \mathbf{G}(F) \backslash S_\infty \times \mathbf{G}(\mathbb{A}_F^{(\infty)}) / K.$$

$Y_K$  is homeomorphic to a finite union of locally symmetric spaces.

### Note

Singular cohomology groups

$$H^j(Y_K, R)$$

of the space  $Y_K$  are  $\mathcal{H}_v^0$ -modules.

Venkatesh considered a generalization of abstract Hecke algebra:

### Definition (Abstract derived Hecke algebra)

The **abstract derived Hecke algebra** at  $v$  with coefficients in  $R$  is defined by

$$\mathcal{H}_v^\bullet := \text{Ext}^\bullet(R[\mathbf{G}(F_v)/K_v], R[\mathbf{G}(F_v)/K_v]),$$

where  $\text{Ext}^\bullet$  is taken in a suitable category of  $R[\mathbf{G}(F_v)]$ -modules.

$\mathcal{H}_v^\bullet$  acts on the cohomology ring

$$H^\bullet(Y_K, R)$$

of the space  $Y_K$  in a graded fashion.

Let us write down the derived action explicitly by following Venkatesh.  
Let us choose a double coset

$$z = K_v g_z K_v \in K_v \backslash \mathbf{G}(F_v) / K_v.$$

Let  $K_z \subset K$  be the inverse image of

$$K_v \cap g_z K_v g_z^{-1} \subset K_v$$

under the projection  $K \rightarrow K_v$  to the  $v$ -component.

Let us define a map as follows:

$$[z] : Y_{K_z} \rightarrow Y_K, (g_\infty, g^{(\infty)}) \mapsto (g_\infty, g^{(\infty)} g_z).$$

$[z]$  is a well-defined continuous map.

Let  $K_{z,1,v}$  be a subgroup of  $K_{z,v}$  such that the inflation map

$$\text{inf} : H^\bullet(K_{z,v}/K_{z,1,v}, R) \rightarrow H^\bullet(K_{z,v}, R)$$

is an isomorphism. Let  $K_{z,1} \subset K_z$  be the inverse image of  $K_{z,v,1}$  under the projection map to the  $v$ -component:

$$K_z \rightarrow K_{z,v}$$

Let us consider the natural projection

$$\pi : Y_{K_{z,1}} \mapsto Y_{K_z}.$$

Note that this is a principal  $\frac{K_{z,v}}{K_{z,1,v}}$ -principal bundle, so there is a homotopy class

$$\left[ Y_{K_z} \rightarrow \mathcal{B} \frac{K_{z,v}}{K_{z,1,v}} \right]$$

of maps corresponding to  $\pi$ . Taking  $H^\bullet(-, R)$  and compositing  $\text{inf}^{-1}$ , we obtain the following map of  $R$ -graded algebras:

$$\langle \cdot \rangle : H^\bullet(K_{z,v}, R) \xrightarrow[\cong]{\text{inf}^{-1}} H^\bullet(K_{z,v}/K_{z,1,v}, R) \rightarrow H^\bullet(Y_{K_z}, R).$$



Let  $z \in K_v \setminus \mathbf{G}(F_v)/K_v$  and  $\alpha \in H^\bullet(K_{z,v}, R)$ .

Let us define  $h_{z,\alpha}$  by the following composition:

$$h_{z,\alpha} : H^\bullet(Y_K, R) \xrightarrow{[z]^*} H^\bullet(Y_{K_z}, R) \xrightarrow{-\cap\langle\alpha\rangle} H^\bullet(Y_{K_z}, R) \rightarrow H^\bullet(Y_K, R),$$

where the last map is the pushforward of the canonical projection

$$Y_{K_z} \rightarrow Y_K.$$

Let  $(z, \alpha)$  be the image of the element

$$(0, \dots, 0, \alpha, 0, \dots, 0) \text{ (supported at } z)$$

under the following  $R$ -module isomorphism:

$$\bigoplus_{z \in K_v \setminus \mathbf{G}(F_v)/K_v} H^\bullet(K_{z,v}, R) \xrightarrow{\cong} \mathcal{H}_v^\bullet.$$

Then, the action of  $\sum_i r_i(z_i, \alpha_i) \in \mathcal{H}_v^\bullet$  on  $H^\bullet(Y_K, R)$  is given by

$$\sum_i r_i h_{z_i, \alpha_i},$$

## Example (Hecke operator for modular forms)

Let us set

- $F = \mathbb{Q}$ ,  $\mathbf{G} = \mathrm{GL}_2/\mathbb{Q}$ .
- $K = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathbf{G}(\widehat{\mathbb{Z}}) : c, d - 1 \in N\widehat{\mathbb{Z}} \right\}$ , where  $N > 0$  is an integer.
- $q$ : a prime of  $\mathbb{Q}$  s.t.  $q \nmid N$ .
- $z = K_q \begin{pmatrix} 1 & 0 \\ 0 & q \end{pmatrix} K_q$ .
- $\alpha \in H^0(K_{z,q}, \mathbb{C}) \cong \mathbb{C} \ni \alpha$ .

In this case,  $Y_K$  is homotopically equivalent to the modular curve  $Y_1(N)$ .

Let  $\omega$  be the Eichler Shimura isomorphism:

$$\omega : S_2(\Gamma_1(N)) \oplus \overline{S_2(\Gamma_1(N))} \xrightarrow{\cong} H_{\mathrm{par}}^1(Y_1(N), \mathbb{C}) \subset H^1(Y_1(N), \mathbb{C})$$

Then, for a cusp form  $f \in S_2(\Gamma_1(N))$ , we have

$$h_{z,\alpha}\omega(f) = \alpha\omega(T_q f).$$

Venkatesh proves that the action of  $\mathcal{H}_w^\bullet$  and  $\mathcal{H}_v^\bullet$  commute for any prime  $w \neq v$  of  $F$ .

### Definition (Derived Hecke algebra)

Let us define the **derived Hecke algebra**  $\mathbb{T}^\bullet$  by the graded  $R$ -subalgebra of

$$\text{End}_R(H^\bullet(Y_K, R))$$

generated by the action of  $\mathcal{H}_v^\bullet$  on

$$H^\bullet(Y_K, R)$$

for primes  $v$  of  $F$  s.t.  $N(v)$  is invertible in  $R$ .

For  $j \geq 0$ , let  $\mathbb{T}^j$  be the  $R$ -submodule of degree  $j$  shifting actions of  $\mathbb{T}^\bullet$ .

Using  $\mathbb{T}^\bullet$ , Venkatesh explain the phenomenon called **spectral degeneracy**:

### Spectral degeneracy

**Spectral degeneracy** refers the phenomenon that the same Hecke eigensystem can occur in several different cohomological degrees.

If  $Y_K$  is not an algebraic variety, spectral degeneracy often happens. Assume the followings:

- (A)  $\mathbb{T}^j$  is non-vanishing for some  $j > 0$ .
- (B) The action of  $\mathbb{T}^0$  commute with the one of  $\mathbb{T}^j$ .

Then one can observe that the spectral degeneracy occurs.

# Specialization

From now on, let us set

- $\mathbf{G} = \mathbb{G}_m/F$ .
- $\mathfrak{N}$ : an integral ideal of  $F$  and

$$K = K(\mathfrak{N}) := \left( \prod_{v|\mathfrak{N}} 1 + \mathfrak{N}O_v \right) \times \prod_{v \nmid \mathfrak{N}} O_v^\times.$$

- $S_\infty = (F \otimes_{\mathbb{Q}} \mathbb{R})^\times / U$ , where

$$U := \{x \in (F \otimes_{\mathbb{Q}} \mathbb{R})_+^\times : |x^\tau| = 1 \text{ for any infinite places } \tau \text{ of } F\}.$$

- $p$ : a rational prime.
- $R = \mathbb{F}_p$ .

Here is our main result:

### Theorem (Kim--Kwon, 2025<sup>+</sup>)

- (A) *holds,*
- (B) *holds for all but finitely many  $p$ ,*

where

(A)  $\mathbb{T}^j$  *is non-vanishing for some  $j > 0$ .*

(B) *The action of  $\mathbb{T}^0$  commute with the one of  $\mathbb{T}^j$ .*

From now on, we assume that  $v \nmid \mathfrak{N}$  and  $p \nmid N(v)$  because

### Note

If  $v \mid \mathfrak{N}$ , then the action of  $\mathcal{H}_v^j$  at  $v$  is trivial for  $j > 0$ .

Let us set

$$Y_{K(\mathfrak{N})} = Y(\mathfrak{N}).$$

Let us consider the natural projection

$$\pi_{v, \mathfrak{N}} : Y(v\mathfrak{N}) \rightarrow Y(\mathfrak{N}).$$

Let  $\kappa_v$  be the residue field of  $v$ . Note that this is a principal  $\kappa_v^\times$ -bundle, so there is a homotopy class

$$[Y(\mathfrak{N}) \rightarrow \mathcal{B}\kappa_v^\times]$$

of maps corresponding to  $\pi_{v, \mathfrak{N}}$ . Taking  $H^\bullet(-, \mathbb{F}_p)$  and composing  $\text{inf}^{-1}$ , we obtain the following map of  $\mathbb{F}_p$ -graded algebras:

$$\langle \cdot \rangle : H^\bullet(O_v^\times, \mathbb{F}_p) \xrightarrow[\cong]{\text{inf}^{-1}} H^\bullet(\kappa_v^\times, \mathbb{F}_p) \rightarrow H^\bullet(Y(\mathfrak{N}), \mathbb{F}_p).$$

For a coset,

$$z = g_z O_v^\times \in F_v^\times / O_v^\times,$$

let us define a map as follows:

$$[z] : Y(\mathfrak{N}) \rightarrow Y(\mathfrak{N}), (g_\infty, g^{(\infty)}) \mapsto (g_\infty, g^{(\infty)} g_z).$$

This is a well-defined continuous map. We have the following  $\mathbb{F}_p$ -algebra isomorphism:

$$R[F_v^\times / O_v^\times] \otimes_{\mathbb{F}_p} H^\bullet(O_v^\times, \mathbb{F}_p) \xrightarrow{\cong} \mathcal{H}_v^\bullet.$$

Let us denote by  $(z, \alpha)$  the image of  $z \otimes \alpha$  under the isomorphism above.

Let us define  $h_{z, \alpha}$  the following composition:

$$h_{z, \alpha} : H^\bullet(Y_K, \mathbb{F}_p) \xrightarrow{[z]^*} H^\bullet(Y_K, \mathbb{F}_p) \xrightarrow{-U\langle \alpha \rangle} H^\bullet(Y_K, \mathbb{F}_p).$$

Then, the action of  $\sum_i r_i(z_i, \alpha_i) \in \mathcal{H}_v^\bullet$  on  $H^\bullet(Y_K, \mathbb{F}_p)$  is given by

$$\sum_i r_i h_{z_i, \alpha_i}.$$



Put

$$T_F := (F \otimes_{\mathbb{Q}} \mathbb{R})_+ / U$$

and

$$E(\mathfrak{M}) := F_+ \cap K(\mathfrak{M}) \subset O_F^\times.$$

Then, we have the following homeomorphism:

$$\coprod_{a \in \text{Cl}_F^+(\mathfrak{M})} E(\mathfrak{M}) \backslash T_F \xrightarrow{\oplus_a \iota_a} Y(\mathfrak{M}),$$

where  $\text{Cl}_F^+(\mathfrak{M})$  is the narrow ray class group of modulus  $\mathfrak{M}$ .

We have the following maps of principal bundles:

$$\begin{array}{ccccc} T_F & \longrightarrow & E(\mathfrak{v}\mathfrak{M}) \backslash T_F & \xrightarrow{\iota_a} & Y(\mathfrak{v}\mathfrak{M}) \\ \downarrow & & \downarrow & & \downarrow \pi_{\mathfrak{v}, \mathfrak{M}} \\ E(\mathfrak{M}) \backslash T_F & \xrightarrow{=} & E(\mathfrak{M}) \backslash T_F & \xrightarrow{\iota_a} & Y(\mathfrak{M}). \end{array}$$

Let us define a map as follows:

$$i_{v, \mathfrak{N}} : E(\mathfrak{N})/E(v\mathfrak{N}) \rightarrow \kappa_v^\times, \quad \varepsilon \mapsto \varepsilon \bmod v.$$

Note that the maps between principal bundles

$$\begin{array}{ccccc} T_F & \longrightarrow & E(v\mathfrak{N}) \setminus T_F & \xrightarrow{\iota_a} & Y(v\mathfrak{N}) \\ \downarrow & & \downarrow & & \downarrow \pi_{v, \mathfrak{N}} \\ E(\mathfrak{N}) \setminus T_F & \xrightarrow{=} & E(\mathfrak{N}) \setminus T_F & \xrightarrow{\iota_a} & Y(\mathfrak{N}). \end{array}$$

is equivariant with respect to the maps

$$E(\mathfrak{N}) \xrightarrow{\bmod E(v\mathfrak{N})} \frac{E(\mathfrak{N})}{E(v\mathfrak{N})} \xrightarrow{i_{v, \mathfrak{N}}} \kappa_v^\times.$$

Therefore, we obtain the following homotopy commutative diagram:

$$\begin{array}{ccccc} E(\mathfrak{N}) \setminus T_F & \xrightarrow{=} & E(\mathfrak{N}) \setminus T_F & \xrightarrow{\iota_a} & Y(\mathfrak{N}) \\ \downarrow \cong & & \downarrow & & \downarrow \\ \mathcal{B}E(\mathfrak{N}) & \xrightarrow{\mathcal{B}\bmod E(v\mathfrak{N})} & \mathcal{B}\frac{E(\mathfrak{N})}{E(v\mathfrak{N})} & \xrightarrow{\mathcal{B}i_{v, \mathfrak{N}}} & \mathcal{B}\kappa_v^\times. \end{array}$$

Taking  $H^\bullet(-, \mathbb{F}_p)$  to the following diagram

$$\begin{array}{ccccc}
 E(\mathfrak{N}) \setminus T_F & \xrightarrow{=} & E(\mathfrak{N}) \setminus T_F & \xrightarrow{\iota_a} & Y(\mathfrak{N}) \\
 \downarrow \cong & & \downarrow & & \downarrow \\
 \mathcal{B}E(\mathfrak{N}) & \xrightarrow{\mathcal{B}\text{mod } E(\nu\mathfrak{N})} & \mathcal{B}\frac{E(\mathfrak{N})}{E(\nu\mathfrak{N})} & \xrightarrow{i_{\nu, \mathfrak{N}}} & \mathcal{B}\kappa_\nu^\times,
 \end{array}$$

we obtain the following commutative diagram:

$$\begin{array}{ccccc}
 H^\bullet(E(\mathfrak{N}) \setminus T_F, \mathbb{F}_p) & \xleftarrow{=} & H^\bullet(E(\mathfrak{N}) \setminus T_F, \mathbb{F}_p) & \xleftarrow{\iota_a^*} & H^\bullet(Y(\mathfrak{N}), \mathbb{F}_p) \\
 \uparrow \cong & & \uparrow & & \uparrow \\
 H^\bullet(E(\mathfrak{N}), \mathbb{F}_p) & \xleftarrow{(\text{mod } E(\nu\mathfrak{N}))^*} & H^\bullet\left(\frac{E(\mathfrak{N})}{E(\nu\mathfrak{N})}, \mathbb{F}_p\right) & \xleftarrow{i_{\nu, \mathfrak{N}}^*} & H^\bullet(\kappa_\nu^\times, \mathbb{F}_p) \\
 & & & & \uparrow \text{inf}^{-1} \\
 & & & & H^\bullet(O_\nu^\times, \mathbb{F}_p)
 \end{array}$$

In conclude, for  $z = g_z O_v^\times \in F_v^\times / O_v^\times$  and  $\alpha \in H^\bullet(O_v^\times, \mathbb{F}_p)$ ,

$$\iota_a^*(h_{z,\alpha}c) = \iota_{g_z a}^*(c) \cup i_{v,\mathfrak{N}}^*(\alpha)$$

for  $c \in H^\bullet(Y(\mathfrak{N}), \mathbb{F}_p)$  and  $a \in Cl_F^+(\mathfrak{N})$ , i.e., the following diagram

$$\begin{array}{ccc} H^\bullet(Y(\mathfrak{N}), \mathbb{F}_p) & \xrightarrow{h_{z,\alpha}} & H^\bullet(Y(\mathfrak{N}), \mathbb{F}_p) \\ \downarrow \iota_{g_z a}^* & & \downarrow \iota_a^* \\ H^\bullet(E(\mathfrak{N}), \mathbb{F}_p) & \xrightarrow{-\cup i_{v,\mathfrak{N}}^*(\alpha)} & H^\bullet(E(\mathfrak{N}), \mathbb{F}_p), \end{array}$$

commutes, where

$$i_{v,\mathfrak{N}} : E(\mathfrak{N}) \rightarrow O_v^\times$$

is the inclusion.

From this formula, we obtain the following result:

### Theorem (Kim--Kwon, 2025<sup>+</sup>)

(A) *The map*

$$\Psi : \mathbb{T}^1 \otimes_{\mathbb{T}^0} H^0(Y(\mathfrak{N}), \mathbb{F}_p) \rightarrow H^1(Y(\mathfrak{N}), \mathbb{F}_p), \quad h \otimes c \mapsto hc$$

*is an isomorphism if and only if*

$$p \nmid t_F \cdot \# \left( O_F^\times / E(\mathfrak{N}) \right) \text{ or } p \mid t_F \ \& \ p \mid \# \left( O_F^\times / E(\mathfrak{N}) \right),$$

*where  $t_F$  is the order of the torsion subgroup of  $O_F^\times$ .*

(B)  $\mathbb{T}^\bullet$  *is a graded  $\mathbb{T}^0$ -algebra.*

### Corollary (Kim--Kwon, 2025<sup>+</sup>)

*If  $\Psi$  is non-vanishing, then the same Hecke eigensystem occur in*

$$H^0(Y(\mathfrak{N}), \overline{\mathbb{F}}_p) \text{ and } H^1(Y(\mathfrak{N}), \overline{\mathbb{F}}_p).$$

## Remark

- $t_p \neq r$  if and only if

$$p \mid t_F \text{ and } p \nmid \# \left( O_F^\times / E(\mathfrak{N}) \right)$$

or

$$p \nmid t_F \text{ and } p \mid \# \left( O_F^\times / E(\mathfrak{N}) \right).$$

- The number of  $p$  such that  $t_p \neq r$  is finite.

Let us prove Theorem A.

### Observation

By straightforward computation, we observe that

$$\begin{aligned}\dim_{\mathbb{F}_p} \text{Codom}(\Psi) &= |\text{Cl}_F^+(\mathfrak{N})| \cdot \text{rk}_{\mathbb{Z}} \mathcal{O}_F^\times, \\ \dim_{\mathbb{F}_p} \text{Dom}(\Psi) &= \dim_{\mathbb{F}_p} \text{Im}(\Psi) = |\text{Cl}_F^+(\mathfrak{N})| \cdot t_p,\end{aligned}$$

where

$$t_p := \dim_{\mathbb{F}_p} \left( \sum_{\substack{v: \text{ prime of } F \\ p \nmid N(v), v \nmid \mathfrak{N}}} i_{v, \mathfrak{N}}^* \text{Hom}(\kappa_v^\times, \mathbb{F}_p) \right) \leq \dim_{\mathbb{F}_p} \text{Hom}(E(\mathfrak{N}), \mathbb{F}_p)$$

and  $i_{v, \mathfrak{N}}$  is the canonical projection

$$E(\mathfrak{N}) \rightarrow \kappa_v^\times,$$

so that  $\Psi$  is injective.

## Grunwald--Wang theorem

Let  $\xi \in F^\times$ . If  $\xi \in (F_v^\times)^p$  for all but finitely many primes  $v$  of  $F$ , then

$$\xi \in (F^\times)^p.$$

From this theorem, we obtain the following:

### Lemma

$$\begin{aligned} t_p &= \dim_{\mathbb{F}_p} \text{Hom}(E(\mathfrak{N}), \mathbb{F}_p) \\ &= \dim_{\mathbb{F}_p} \text{Hom}(O_F^\times, \mathbb{F}_p) - \dim_{\mathbb{F}_p} \text{Hom}(O_F^\times / E(\mathfrak{N}), \mathbb{F}_p). \end{aligned}$$

### Proof of $t_p = \text{rk}_{\mathbb{Z}} O_F^\times$

From the Lemma, we know that  $t_p = r$  if and only if

$$p \nmid t_F \cdot \#(O_F^\times / E(\mathfrak{N}))$$

or  $p$  divides both  $t_F$  and  $\#(O_F^\times / E(\mathfrak{N}))$ .



## Remark

$H^\bullet(O_V^\times, \mathbb{F}_p)$  is isomorphic to

$$\mathbb{F}_p[\alpha, \beta]/(\alpha^2),$$

where  $\alpha$  and  $\beta$  map to a generator of  $H^1(O_V^\times, \mathbb{F}_p)$  and  $H^2(O_V^\times, \mathbb{F}_p)$ , resp. Based on this fact, we obtain that

$\mathbb{T}^\bullet$  is generated by  $\mathbb{T}^1, \mathbb{T}^2$  as a  $\mathbb{T}^0$ -module.

## Question

Is the map

$$\Phi : \mathbb{T}^2 \otimes_{\mathbb{T}^0} H^0(Y(\mathfrak{N}), \mathbb{F}_p) \rightarrow H^2(Y(\mathfrak{N}), \mathbb{F}_p), \quad h \otimes c \mapsto hc$$

is non-vanishing?

Thank you!